



3550 George Busbee Parkway  
Suite 450  
Kennesaw, GA 30144  
Phone # (800) 380-5040

**Form ADV Part 2B  
Brochure Supplement  
for  
Shawn G. O'Keefe**

**Date of Brochure: September 9th, 2021**

Summit Wealth Management, LLC is not a registered investment adviser firm. Summit Wealth Management, LLC and Impact Partnership Wealth, LLC are not affiliated firms.

This brochure supplement provides information about Shawn G. O'Keefe that supplements the Impact Partnership Wealth, LLC brochure. You should have received a copy of that brochure. Please contact Derrick Vermillion at (800) 380-5040 or [dvermillion@ipwm.com](mailto:dvermillion@ipwm.com) if you did not receive Impact Partnership Wealth, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Shawn G. O'Keefe is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Name: Shawn G. O'Keefe

Year of Birth: 1962

Education: Attended Mankato State University, 1980-1983

### **Business**

Background: Impact Partnership Wealth, LLC  
Investment Adviser Representative, July 2021 - Present

Summit Wealth Strategies, LLC.  
Managing Director, January 2014 - Present

Resources Investment Advisors, LLC.  
Investment Adviser Representative, February 2018 – June 2021

Summit Wealth Financial Planners, LLC.  
Investment Adviser Representative, October 2017 – February 2018

Redhawk Wealth Advisors, Inc.  
Investment Adviser Representative, June 2014 – December 2017

Futurity First  
Managing Director, February 2014 – December 2017

## **Item 3 – Disciplinary Information**

Mr. O'Keefe is currently not subject to any legal or disciplinary events of a material nature. More information regarding a previous customer grievance that arose on December 23, 2011 and settled on January 29, 2013 can be obtained by visiting the SEC's website at <https://adviserinfo.sec.gov>.

## **Item 4 – Other Business Activities**

Mr. O'Keefe is an independent life insurance agent who is appointed with various insurance carriers. In this capacity as independent life insurance agent of the insurance carriers, Mr. O'Keefe can recommend / sell life insurance and fixed index annuity products. Mr. O'Keefe utilizes the services of The Impact Partnership, LLC an insurance marketing organization ("IMO"), to help him market his insurance related activities of recommending / selling life insurance and fixed index annuities.

Mr. O'Keefe's life insurance and fixed index annuity clients can also be his clients and the clients of his registered investment adviser firm – the Impact Partnership Wealth, LLC. When he sells a life insurance product or fixed index annuity, he receives a commission from his appointed insurance carrier and from The Impact Partnership, LLC. This type of activity can create an incentive to recommend life insurance and fixed indexed annuity products. Impact Partnership Wealth, LLC. addresses this activity with appropriate disclosures and supervisory procedures, which require all investment advisory recommendations to be in

the best interest of our clients. Clients are under no obligation to purchase any life insurance or fixed annuity product from Mr. O'Keefe; and thus, may purchase their life insurance or fixed annuity products through another insurance agent of their choosing.

Mr. O'Keefe is the Managing Director of Summit Wealth Strategies, LLC. Mr. O'Keefe offers insurance and investment services and may offer those products to persons that are also clients of Impact Partnership Wealth, LLC. When he sells an insurance product, Mr. O'Keefe receives a commission. This activity can create an incentive to recommend investment products based on the compensation received Impact Partnership Wealth, LLC. addresses this activity with disclosures and supervisory procedures, which require all investment advisory recommendations to be in the best interest of our clients. Clients are under no obligation to purchase any product or service and may do so through another insurance agent of their choosing.

#### **Item 5 – Additional Compensation**

Mr. O'Keefe may receive compensation or benefits through his affiliation with The Impact Partnership, LLC (and/or affiliated companies). Such additional compensation generally consists of cash bonus payments and reward trips based on insurance product sales performance. This activity can create an incentive to meet sales goals. Impact Partnership Wealth, LLC. addresses this activity with disclosures and supervisory procedures, which require all investment advisory recommendations to be in the best interests of our clients.

As an investment adviser representative of Impact Partnership Wealth, LLC, Mr. O'Keefe can receive bonus payments from an insurance company for selling a targeted number of annuities during a specified period of time. Mr. O'Keefe can also receive bonuses based on their overall assets under management during a specific period of time. These bonuses can include cash payments and/or qualification for networking and business trips. These benefits are not a result of achieving sales quotas related to specific product lines. Impact Partnership Wealth, LLC addresses this activity with disclosures and supervisory procedures, which require all investment advisory recommendations to be in the best interest of our clients, following procedures and the firm's fiduciary obligation to each client.

#### **Item 6 – Supervision**

Impact Partnership Wealth, LLC. and its investment adviser representatives provide investment advisory services in accordance with Impact Partnership Wealth, LLC's Written Supervisory Procedures. Our Written Supervisory Procedures include provisions for systematic reviews of the investment recommendations made by our representatives and of the securities that are held in our clients' accounts. Our Chief Compliance Officer, Derick Vermillion is primarily responsible for the implementation of our Written Supervisory Procedures and overseeing the activities of our investment adviser representatives. Clients may contact Mr. Vermillion at (800) 380-5040 or dvermillion@ipwm.com with any questions regarding our supervision or compliance practices.

#### **Item 7 – Requirements for State-Registered Advisers**

Mr. O'Keefe has not been found liable in any arbitration claim alleging in excess of \$2,500 or any civil, self-regulatory organization or administrative proceedings.

Mr. O'Keefe has not been the subject of a bankruptcy petition.